

बिड दस्तावेज़ / Bid Document

बिड विवरण/Bid Details	
बिड बंद होने की तारीख/समय /Bid End Date/Time	27-09-2025 16:00:00
बिड खुलने की तारीख/समय /Bid Opening Date/Time	27-09-2025 16:30:00
बिड पेशकश वैधता (बंद होने की तारीख से)/Bid Offer Validity (From End Date)	30 (Days)
मंत्रालय/राज्य का नाम/Ministry/State Name	Uttar Pradesh
विभाग का नाम/Department Name	Labour Department
संगठन का नाम/Organisation Name	Uttar Pradesh Building And Other Construction Workers Welfare Board
कार्यालय का नाम/Office Name	Head Office
वस्तु श्रेणी /Item Category	Financial Audit Services - Review of Financial Statements, Financial Reporting Framework, Audit report, Preparing of Balance sheet, Income & Expenditure Statement; CAG Empaneled Audit or CA Firm
अनुबंध अवधि /Contract Period	1 Year(s) 1 Day(s)
बिडर का न्यूनतम औसत वार्षिक टर्नओवर (3 वर्षों का) /Minimum Average Annual Turnover of the bidder (For 3 Years)	30 Lakh (s)
उन्हीं/समान सेवा के लिए अपेक्षित विगत अनुभव के वर्ष/Years of Past Experience Required for same/similar service	7 Year (s)
इसी तरह की सेवाओं का पिछला आवश्यक अनुभव है/Past Experience of Similar Services required	Yes
एमएसएमई के लिए अनुभव के वर्षों और टर्नओवर से छूट प्रदान की गई है/MSE Exemption for Years of Experience and Turnover	No
स्टार्टअप के लिए अनुभव के वर्षों और टर्नओवर से छूट प्रदान की गई है /Startup Exemption for Years of Experience and Turnover	No
विक्रेता से मांगे गए दस्तावेज़/Document required from seller	Experience Criteria,Bidder Turnover,Certificate (Requested in ATC),Additional Doc 1 (Requested in ATC),Additional Doc 2 (Requested in ATC) *In case any bidder is seeking exemption from Experience / Turnover Criteria, the supporting documents to prove his eligibility for exemption must be uploaded for evaluation by the buyer

बिड विवरण/Bid Details	
क्या आप निविदाकारों द्वारा अपलोड किए गए दस्तावेजों को निविदा में भाग लेने वाले सभी निविदाकारों को दिखाना चाहते हैं? संदर्भ मेनू है/Do you want to show documents uploaded by bidders to all bidders participated in bid?	Yes (Documents submitted as part of a clarification or representation during the tender/bid process will also be displayed to other participated bidders after log in)
बिड लगाने की समय सीमा स्वतः नहीं बढ़ाने के लिए आवश्यक बिड की संख्या। / Minimum number of bids required to disable automatic bid extension	3
दिनों की संख्या, जिनके लिए बिड लगाने की समय-सीमा बढ़ाई जाएगी। / Number of days for which Bid would be auto-extended	7
ऑटो एक्सटेंशन अधिकतम कितनी बार किया जाना है। / Number of Auto Extension count	1
बिड से रिवर्स नीलामी सक्रिय किया/Bid to RA enabled	No
बिड का प्रकार/Type of Bid	Two Packet Bid
तकनीकी मूल्यांकन के दौरान तकनीकी स्पष्टीकरण हेतु अनुमत समय /Time allowed for Technical Clarifications during technical evaluation	3 Days
अनुमानित बिड मूल्य /Estimated Bid Value	2000000
मूल्यांकन पद्धति/Evaluation Method	Total value wise evaluation

ईएमडी विवरण/EMD Detail

एडवाइजरी बैंक/Advisory Bank	PUNJAB NATIONAL BANK
ईएमडी राशि/EMD Amount	60000

ईपीबीजी विवरण /ePBG Detail

एडवाइजरी बैंक/Advisory Bank	PUNJAB NATIONAL BANK
ईपीबीजी प्रतिशत (%) /ePBG Percentage(%)	5.00
ईपीबीजी की आवश्यक अवधि (माह) /Duration of ePBG required (Months).	18

(a). जेम की शर्तों के अनुसार ईएमडी छूट के इच्छुक बिडर को संबंधित कैटेगरी के लिए बिड के साथ वैध समर्थित दस्तावेज प्रस्तुत करने हैं। एमएसई कैटेगरी के अंतर्गत केवल वस्तुओं के लिए विनिर्माता तथा सेवाओं के लिए सेवा प्रदाता ईएमडी से छूट के पात्र हैं। व्यापारियों को इस नीति के दायरे से बाहर रखा गया है।/EMD EXEMPTION: The bidder seeking EMD exemption, must submit the valid supporting document for the relevant category as per GeM GTC with the bid. Under MSE category, only manufacturers for goods and Service Providers for Services are eligible for exemption from EMD. Traders are excluded from the purview of this

Policy.

(b). ईएमडी और संपादन जमानत राशि, जहां यह लागू होती है, लाभार्थी के पक्ष में होनी चाहिए। / EMD & Performance security should be in favour of Beneficiary, wherever it is applicable.

लाभार्थी /Beneficiary :

Secretary

Head Office, Uttar Pradesh Building and Other Construction Workers Welfare Board,

(U P Bhawan Evam Annya Sannirman Karmkar Kalyan Board)

विभाजन/Splitting

बोली विभाजन लागू नहीं किया गया/Bid splitting not applied.

एमआईआई अनुपालन/MII Compliance

एमआईआई अनुपालन/MII Compliance	Yes
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1. The minimum average annual financial turnover of the bidder during the last three years, ending on 31st March of the previous financial year, should be as indicated above in the bid document. Documentary evidence in the form of certified Audited Balance Sheets of relevant periods or a certificate from the Chartered Accountant / Cost Accountant indicating the turnover details for the relevant period shall be uploaded with the bid. In case the date of constitution / incorporation of the bidder is less than 3-year-old, the average turnover in respect of the completed financial years after the date of constitution shall be taken into account for this criteria.

2. Years of Past Experience required: The bidder must have experience for number of years as indicated above in bid document (ending month of March prior to the bid opening) of providing similar type of services to any Central / State Govt Organization / PSU. Copies of relevant contracts / orders to be uploaded along with bid in support of having provided services during each of the Financial year.

3. Estimated Bid Value indicated above is being declared solely for the purpose of guidance on EMD amount and for determining the Eligibility Criteria related to Turn Over, Past Performance and Project / Past Experience etc. This has no relevance or bearing on the price to be quoted by the bidders and is also not going to have any impact on bid participation. Also this is not going to be used as a criteria in determining reasonableness of quoted prices which would be determined by the buyer based on its own assessment of reasonableness and based on competitive prices received in Bid / RA process.

4. Past Experience of Similar Services: The bidder must have successfully executed/completed similar Services over the last three years i.e. the current financial year and the last three financial years(ending month of March prior to the bid opening): -

1. Three similar completed services costing not less than the amount equal to 40% (forty percent) of the estimated cost; or

2. Two similar completed services costing not less than the amount equal to 50% (fifty percent) of the estimated cost; or

3. One similar completed service costing not less than the amount equal to 80% (eighty percent) of the estimated cost.

This Bid is based on Quality & Cost Based Selection (QCBS) . The technical qualification parameters are :-

Parameter Name	Max Marks	Cutoff Marks	Qualification Methodology Document
QCBS	100	70	View File

Total Minimum Qualifying Marks for Technical Score: 70

QCBS Weightage(Technical:Financial):70:30

Pre Bid Detail(s)

मूल्य भिन्नता खंड दस्तावेज़/Pre-Bid Date and Time	प्री-बिड स्थान/Pre-Bid Venue
19-09-2025 12:00:00	UPBOCW Board, 2nd Floor A Block Kishan Mandi Bhawan, Vibhuti Khand, Gomti Nagar, Lucknow

Financial Audit Services - Review Of Financial Statements, Financial Reporting Framework, Audit Report, Preparing Of Balance Sheet, Income & Expenditure Statement; CAG Empaneled Audit Or CA Firm (1)

तकनीकी विशिष्टियाँ /Technical Specifications

विवरण/ Specification	मूल्य/ Values
कोर / Core	
Scope of Work	Review of Financial Statements , Financial Reporting Framework , Audit report , Preparing of Balance sheet, Income & Expenditure Statement
Type of Financial Audit Partner	CAG Empaneled Audit or CA Firm
Type of Financial Audit	Statutory Audit
Category of Work under Financial Audit	Audit of financial statements , Reliability of financial reporting , Internal control of financial , Investigating fraud , Treasury operations , Bank Transactions , Risk Management , Review system & processes , Compliance with contracts
Type of Industries/Functi ons	Sales, Services and Revenue , Purchase & Procurement , Inventory & Store management , Human Resource & Payroll , Operational & Administrative , Fixed assets, depreciation and amortisation , Payables , Receivables , Cash and Bank Balance
Frequency of Progress Report	Annually
MIS Reporting for Financial Audit support	Yes
Frequency of MIS reporting	Annually
State	NA
District	NA
एडऑन /Addon(s)	
Post Financial Audit Support	NA

अतिरिक्त विशिष्टि दस्तावेज़ /Additional Specification Documents

परेषिती/रिपोर्टिंग अधिकारी /Consignees/Reporting Officer and Quantity

क्र.सं./S.No.	परेषिती/रिपोर्टिंग अधिकारी /Consignee Reporting/Officer	पता/Address	संसाधनों की मात्रा / To be set as 1	अतिरिक्त आवश्यकता /Additional Requirement
1	Nicky Naincy	226010,2nd Floor A and D Block Kissan Mandi Bhawan Vibhuti Khand Gomti Nagar	1	N/A

क्रेता द्वारा जोड़ी गई बिड की विशेष शर्तें/Buyer Added Bid Specific Terms and Conditions**1. Generic**

OPTION CLAUSE: The buyer can increase or decrease the contract quantity or contract duration up to 25 percent at the time of issue of the contract. However, once the contract is issued, contract quantity or contract duration can only be increased up to 25 percent. Bidders are bound to accept the revised quantity or duration

2. Certificates

Bidder's offer is liable to be rejected if they don't upload any of the certificates / documents sought in the Bid document, ATC and Corrigendum if any.

3. Buyer Added Bid Specific ATC

Buyer Added text based ATC clauses

- 1) Given Estimated Bid Value for Audit of Financial year 2024-25.
- 2) Project Coordinator, Project Coordination Unit, UPBOCW reserves the rights to reject any or all Bids with out assigning any reason(s) thereof.
- 3) Bidders must submit all required documents with signed & Seal in proper pages numbering and indexing.
- .

4. Buyer Added Bid Specific Scope Of Work(SOW)

File Attachment [Click here to view the file.](#)

5. Generic

Bidder financial standing: The bidder should not be under liquidation, court receivership or similar proceedings, should not be bankrupt. Bidder to upload undertaking to this effect with bid.

6. Buyer Added Bid Specific SLA

File Attachment [Click here to view the file.](#)

7. Buyer Added Bid Specific ATC

Buyer uploaded ATC document [Click here to view the file.](#)

अस्वीकरण/Disclaimer

The additional terms and conditions have been incorporated by the Buyer after approval of the Competent Authority in Buyer Organization, whereby Buyer organization is solely responsible for the impact of these clauses on the bidding process, its outcome, and consequences thereof including any eccentricity / restriction arising in the bidding process due to these ATCs and due to modification of technical specifications and / or terms and conditions governing the bid. If any clause(s) is / are incorporated by the Buyer regarding following, the bid and resultant contracts shall be treated as null and void and such bids may be cancelled by GeM at any stage of bidding process without any notice:-

1. Definition of Class I and Class II suppliers in the bid not in line with the extant Order / Office Memorandum issued by DPIIT in this regard.
2. Seeking EMD submission from bidder(s), including via Additional Terms & Conditions, in contravention to exemption provided to such sellers under GeM GTC.
3. Publishing Custom / BOQ bids for items for which regular GeM categories are available without any Category item bunched with it.
4. Creating BoQ bid for single item.
5. Mentioning specific Brand or Make or Model or Manufacturer or Dealer name.
6. Mandating submission of documents in physical form as a pre-requisite to qualify bidders.
7. Floating / creation of work contracts as Custom Bids in Services.
8. Seeking sample with bid or approval of samples during bid evaluation process. (However, in bids for [attached categories](#), trials are allowed as per approved procurement policy of the buyer nodal Ministries)
9. Mandating foreign / international certifications even in case of existence of Indian Standards without specifying equivalent Indian Certification / standards.
10. Seeking experience from specific organization / department / institute only or from foreign / export experience.
11. Creating bid for items from irrelevant categories.
12. Incorporating any clause against the MSME policy and Preference to Make in India Policy.
13. Reference of conditions published on any external site or reference to external documents/clauses.
14. Asking for any Tender fee / Bid Participation fee / Auction fee in case of Bids / Forward Auction, as the case may be.
15. Buyer added ATC Clauses which are in contravention of clauses defined by buyer in system generated bid template as indicated above in the Bid Details section, EMD Detail, ePBG Detail and MII and MSE Purchase Preference sections of the bid, unless otherwise allowed by GeM GTC.
16. In a category based bid, adding additional items, through buyer added additional scope of work/ additional terms and conditions/or any other document. If buyer needs more items along with the main item, the same must be added through bunching category based items or by bunching custom catalogs or bunching a BoQ with the main category based item, the same must not be done through ATC or Scope of Work.

Further, if any seller has any objection/grievance against these additional clauses or otherwise on any aspect of this bid, they can raise their representation against the same by using the Representation window provided in the bid details field in Seller dashboard after logging in as a seller within 4 days of bid publication on GeM. Buyer is duty bound to reply to all such representations and would not be allowed to open bids if he fails to reply to such representations.

All GeM Sellers / Service Providers are mandated to ensure compliance with all the applicable laws / acts / rules including but not limited to all Labour Laws such as The Minimum Wages Act, 1948, The Payment of Wages Act, 1936, The Payment of Bonus Act, 1965, The Equal Remuneration Act, 1976, The Payment of Gratuity Act, 1972 etc. Any non-compliance will be treated as breach of contract and Buyer may take suitable actions as per GeM Contract.

This Bid is governed by the [सामान्य नियम और शर्तें/General Terms and Conditions](#), conditions stipulated in Bid and [Service Level Agreement](#) specific to this Service as provided in the Marketplace. However in case if any condition specified in सामान्य नियम और शर्तें/General Terms and Conditions is contradicted by the conditions stipulated in Service Level Agreement, then it will over ride the conditions in the General Terms and Conditions.

जेम की सामान्य शर्तों के खंड 26 के संदर्भ में भारत के साथ भूमि सीमा साझा करने वाले देश के बिडर से खरीद पर प्रतिबंध के संबंध में भारत के साथ भूमि सीमा साझा करने वाले देश का कोई भी बिडर इस निविदा में बिड देने के लिए तभी पात्र होगा जब वह बिड देने वाला

सक्षम प्राधिकारी के पास पंजीकृत हो। बिड में भाग लेते समय बिडर को इसका अनुपालन करना होगा और कोई भी गलत घोषणा किए जाने व इसका अनुपालन न करने पर अनुबंध को तत्काल समाप्त करने और कानून के अनुसार आगे की कानूनी कार्रवाई का आधार होगा।/In terms of GeM GTC clause 26 regarding Restrictions on procurement from a bidder of a country which shares a land border with India, any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority. While participating in bid, Bidder has to undertake compliance of this and any false declaration and non-compliance of this would be a ground for immediate termination of the contract and further legal action in accordance with the laws.

---धन्यवाद/Thank You---

Additional Terms and Conditions

Position:	Statutory Auditor
Entity Name:	Uttar Pradesh Building & Other Construction Workers Welfare Board (UPBOCW)
Accounting	75 Districts offices in the State of Uttar Pradesh
Locations:	1 Head office located at Lucknow
Duration:	Initially for 1 year (for FY 2024-25), further extension for 2 years based on requirement / performance.

1. Background:

The workers employed in building and other construction work are unorganized sector workers who belong to an extremely poor and exploited class. In such a situation, the Building and Other Construction Workers Welfare (Regulation of Employment and Service Conditions) Act-1996 by the Government of India for the purpose of regulating the employment and service conditions of building and other construction workers and providing measures related to their safety, health, and welfare was passed, in order of which the Uttar Pradesh Government promulgated the Uttar Pradesh Building and Other Construction Workers Welfare (Employment and Service-Condition Regulation) Rules on February 4, 2009. According to the arrangement mentioned in Section 18 (1) of the said Act, the Uttar Pradesh Building and Other Construction Workers Welfare Board was constituted.

At present, about 1.84 crore construction workers in the state are registered under the board. These construction workers and their families are currently being benefited by the Maternity, Child, and Girl Child Aid Scheme, Sant Ravidas Education Promotion Scheme, Vihaan Residential School Scheme, Skill Development Technical Upgradation and Certification Scheme, Toilet Assistance Scheme, Disaster Relief Assistance Scheme, Mahatma Gandhi Pension Scheme, Serious Illness Assistance Scheme, Girl Marriage Assistance Scheme, Pt. Deendayal Upadhyaya Chetna Yojana, and Death and Disability Assistance Scheme by the board.

2. Objective of the Assignment:

The Board desires to appoint an independent firm of Chartered Accountants, must be empaneled with the C&AG of India, to conduct the audit of the Board's Financial Statements under the following Terms of Reference. The audit will cover the period of initially for the **FY 2024-25**, however, board may grant further extension maximum upto 3 financial years based on requirement / performance. The overall objective of the audit is to enable the auditor to express a professional opinion as to whether, the financial statement of the Board give a true and fair view in conformity with the accounting principles generally accepted in India, and on the operation of the overall financial management system including internal control and compliance with financing agreements.

3. Auditing Standards and Scope of Work:

The audit will be carried out in accordance with the Standards of Auditing promulgated by the Institute of Chartered Accountants of India (ICAI). The auditor should accordingly consider materiality when planning and performing the audit to reduce audit risk to an acceptable level that is consistent with the objective of the audit. Although the primary responsibility for preventing irregularity and fraud, or ensuring that the Loan proceeds are used for purposes as required in the legal agreements remains with the Management, the auditor should specifically consider the risk of material misstatements in the financial statements resulting from fraud, while planning and performing the audit procedures and evaluating and reporting the results thereof.

The audit will include such tests and controls as the auditor considers necessary under the circumstances. In conducting the audit of Financial Statements, special attention shall be paid to the following:

- a) All funds (Cess) have been used in accordance with the conditions of the relevant Act [The Building and Other Construction Workers' (Regulation of Employment and Conditions of Service) Act, 1996], and Rules [U.P. Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Rules, 2009] with due attention to economy and efficiency.
- b) The books of accounts of all the units (75 districts locations) are to be reconciled with the expenditure reported to UPBOCW as per the trial balance of relevant year. The overall variation, if any, shall be worked out and will be submitted along with head wise details and reasons thereof.
- c) All necessary supporting documents, records, and accounts have been kept in respect of all transactions including expenditures reported under different schemes. Clear linkages exist between the books of account and the payment made for different Schemes.
- d) Review the adequacy of the contract management procedures including (a) internal controls in respect of verification/ approval of claims/bills; (b) compliance with the terms and conditions of the contract agreement, if any; (c) maintenance of documents and accounting.
- e) Review the adequacy and maintenance of accounting and internal control systems underlying the Board throughout the audit period.
- f) Review the adequacy of governance mechanisms within the organization such as meetings of the Governing Body and Executive Committee, approvals of contracts as applicable in relation to the implementation of the boards objectives, and report on any material observation.

4. Audit Report:

The auditors will prepare audit reports on the consolidated Financial Statement of the Board, in accordance with the Standards of Auditing promulgated by ICAI (as applicable). Those standards require a clear written expression of opinion on whether the financial statements give a true and fair view relating to the financial statements taken as a whole. The auditors will provide a primary opinion (true and fair view) on financial statements, and in addition provide their professional opinion on whether -

- the Project funds were utilized for the purpose for which they were intended;
- Proper books of accounts have been maintained and the expenditure reported in the financial statements is in agreement with the books of accounts;
- the accounting, and internal control systems underlying the project and oversight over the funds were adequate and maintained throughout the audit period; and
- Procurements made had been undertaken in accordance with agreed procedures.

5. Management Letter from Auditor:

The auditor will prepare and submit along with the audit report/s, Management Letters highlighting findings during the audit to enable management to take timely action. The Management Letters will elaborate the findings of the audit and contain recommendations for improvements in internal control and other matters coming to the attention of the auditor during the audit examination. The Management Letters would include matters such as the following (indicative only):

- a) Observations on deficiencies/weakness on the accounting records, systems, and controls that were examined during the audit and management's response to these together with specific recommendations for improvement.
- b) Any identified internal control weaknesses related to the procurement process such as, over the bidding, evaluation and contract management domains
- c) Elaboration of the audit qualifications, if any, made in the audit report;
- d) Degree of compliance of the financial covenants.

- e) Communicate matters that have come to attention during the audit which might have a significant impact on the implementation of board's objectives.
- f) Adequacy of staffing.
- g) Adequacy of compliance with previous audit findings/recommendations in the Audit Report/Management Letter and status of any issues which remain to be addressed and any issues which recurred.
- h) Bring to the Management's attention any matter(s) that might have a significant impact on the implementation of the board's objectives or that the auditor considers necessary and pertinent.
- i) Describe the sampling methodology followed in audit.

Each of the Management Letters should contain an Executive Summary including a table of observations and audit recommendations along with response of the Management and a timeline for completing the action/s recommended.

6. Timeline for Submission of Audit Reports:

The audits will be conducted in a timely manner so that the audited Financial Statements and audit reports along with the Management Letters are submitted in front of the Boards meeting by 31st September i. e. within 6 months of the close of the financial year.

7. Suggested Team Composition:

The audit team will comprise, at the minimum, the following personnel who would be evaluated.

Sl	Key Professionals	Description of services to be provided	Qualification and experience	No. of persons
1	Engagement Partner and Team Leader	Overall coordination and planning, team leadership, reporting, liaison with client.	Qualified Chartered Accountant. Minimum 10 years' post qualification experience as practicing Chartered Accountant and minimum 5 years continuous engagement with the firm.	1
2.	Audit Team Manager	Responsibility to lead the audit teams in the field, planning and execution of audit, discussion with unit heads at districts offices, report writing and finalization.	Qualified Chartered Accountant. Minimum 5 years of post-qualification experience and minimum three years continuous engagement with the firm.	2
3	Audit team Seniors	Audit (including field work) at 75 districts location and preparing reports for the Audit Manager.	C.A. (Inter) and CMA Inter with at least two years' post training experience in accounting, audit and report writing.	4 CA Inter, 2 CMA Inter And Other Staff 4

8. General:

The auditor will be given access to all related books, records, reports and information relevant for the purposes of conducting the audit. The information made available to the auditor will include, but not be limited to, Scheme Appraisal Document, relevant Legal Agreements, guidelines and Manuals etc. Copies of these documents would also be available at head office Lucknow. The

districts office staff will be available for discussions with the auditors and suitable infrastructure (proper seating arrangement, access to the Laptop / Desktop having Tally / ERP / other accounting software / installed (if in place) and access to other relevant documents / records / portals) will be provided to the auditor to conduct the audit.

The External Audit services will be required at 75 districts offices and the Head office located in Lucknow. The selected firm is required to visit the site locations at each districts to carry out the audit of expenditures made out of the Board's funds. The Auditor will be required to form 5 teams (one qualified CA and 2 Semi-qualified Assistant) under the leadership of Team leader. Each team shall cover 15 districts audit locations. Moreover, 1 audit team (Team Leader, One qualified CA and 2 Semi-qualified Assistant) shall cover and co-ordinate the entire audit from H.O., Lucknow.

The Consultants will quote the consolidated fee on lump-sum basis for the assignment including travelling & loading cost to visit districts offices and HO Lucknow. No Reimbursement / out of pocket expenses shall be payable under the assignment except quoted annual audit fees.

9. Method of Selection:

A firm will be selected under the **Quality and Cost-Based Selection (QCBS)** method with a **70% technical and 30% financial weightage**. The minimum **technical cut-off marks** required are **70%**.

The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100.

The formula for determining the financial scores (Sf) of all other Proposals is calculated as following:

$Sf = 100 \times Fm / F$, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" the price of the proposal under consideration.

[or replace with another inversely proportional formula acceptable to the Bank]

The weights given to the Technical (T) and Financial (P) Proposals are: T = 70, and P = 30

Proposals are ranked according to their combined technical (St) and financial (Sf) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) as following: $S = St \times T\% + Sf \times P\%$.

10. Selection Criteria:

Part A: Mandatory Criteria:

Sl	Eligibility Criteria	Documents Required
1.	C.A. firm should be an Indian firm having independent legal existence, registered under the applicable Act.	Attach ICAI certificate for constitution of firm as on 1.7.2025.
2.	The firm shall have a standing of at least Ten years (10) in the profession.	
3.	Firm should have branch / head office in Lucknow.	
4.	The firm and should be empaneled with C&AG.	Latest relevant letter of empanelment with C&AG.
5.	C.A. firm should have an average annual turnover of Rs 30 lacs in the last three financial years (FY 21-22, 22-23 & 23-24).	Attach relevant Audited Financial Statement / Certificate.

6.	The Chartered Accountancy firm shall have at least 3 Partners.	Attach relevant certificate issued by ICAI as on 1.7.2025.
7.	Proven experience of working with Indian Govt. Department/ Semi Govt.	Certificate/ Other Supporting documents to substantiate the experience shall be submitted by the firm.
8.	The firm should not be blacklisted in the any government entity / department of the state.	Self declaration certificate.

11. Part B: Evaluation Criteria:

Only Consultant agency/ firm fulfilling the minimum eligibility criteria shall be considered for further evaluation.

The bidders fulfilling the minimum eligibility criteria shall be further evaluated and graded based on the required documents and according to the following details and any other clarifications/ presentations, etc. The marks attached to these details for the purposes of evaluation are also stated in the table. Criteria, sub-criteria, and point system for the evaluation of the Full Technical Proposals are as under:

Note to the Consultant: The client will assess whether the proposed methodology is clear, responds to the ToRs, work plan is realistic and implementable; overall team composition is balanced and has an appropriate skills mix; and the work plan has right input of Experts.

S. NO	Technical Evaluation Criteria	Relevant Document	Marking System	Max. Marks
1	The Bidder should be a Firm registered in India through competent agency/authority for	Copy of latest registration certificate From the competent authority i.e ICAI	10-15 yrs = 10 >15 yrs = 20	20
2	Firm must have carried out atleast 05 Audits in Government Departments/PSU's/Corporations/ Authorities preferably for externally aided project during the last 05 years.	Copy of work order & any document justifying the of the completion assignment from the client	5-8=5 >8=10	10
3	Average turnover of the Firm for financial years 2018-19, 2019-20 and 2020-21.	Copy of Turnover CA Certificate & Audited Balance Sheets.	Rs30-50 lac=05 > Rs. 50 lac=10	10
4	Key Expert's qualification and competence for the assignment. a) Position K-1 (Partner Audit Manager) b) Position K-2 (Audit Team Leader) c) Position K-3 (Teams)	As per information provided in Form No.- 12 (Team Composition)	(a) Position K-2 (Audit Team Leader) - 1 (A.T.L.)=05 2 (A.T.L.)=10 b) Position K-3 (Teams) - 1-2 Team=10 1-4 Team=20	10 20
				M.M=30

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5	Adequacy and quality of the proposed methodology and work plan. (Notes to Consultant the client will assess whether the proposed methodology is clear, responds to the TORs, work plan is realistic and implementable ; overall team composition is balanced and has an appropriate skill mix; and the work plan has right input of experts).	Approach & Methodology shall be in the form of a presentation to be made to the committee of Procurement/Department.		30
	The Minimum Technical Score Required to Pass is = 70			
	TOTAL			100

Total points:

[100]

The minimum technical score (St) required to pass is: 70

12. Format of Technical Bid. All the description must be supported

Sl	Particular	Description / Documents	Page no
1.	Firm's Name		
2.	Firm's Address and Contact Details:		
3.	Firm's Registration No and year of establishment.		
4.	C&AG empaneled Status.		
5.	Average annual turnover in the last three financial years (FY 21-22, 22-23 & 23-24).		
6.	No of Partners (full time only) and their brief details.		
7.	Proven experience of working with Indian Govt. Department/ Semi Govt.		
8.	Firm's blacklisting status.		
9.	Proven 10 years of relevant experience in similar assignment.		
10.	Detailed Approach, methodology and work plan in responding to the Terms of Reference:		
11.	CV's of the Key Experts K-1 K-2 K-3 (Provide CVs of key experts in the below mentioned format)		

CURRICULUM VITAE (CV)

Position Title and No.	{e.g., K-1, TEAM LEADER}
Name of Expert:	{Insert full name}
Date of Birth:	{day/month/year}
Country of Citizenship/Residence	

Education: {List college/university or other specialized education, giving names of educational institutions, dates attended, degree(s)/diploma(s) obtained}

Employment record relevant to the assignment: {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous clients and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

Period	Employing organization and your title/position. Contact in for references	Country	Location	Summary of activities performed relevant to the Assignment
[e.g., May 2005- present]	[e.g., Ministry of, advisor/consultant to... For references: Tel...../e-mail.....; Mr.,]			

Membership in Professional Associations and Publications:

Language Skills (indicate only languages in which you can work):
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Adequacy for the Assignment:

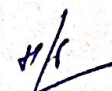
Detailed Tasks Assigned on Consultant's Team of Experts:	Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks
{List all deliverables/tasks as in TECH- 5 in which the Expert will be involved)	

Expert's contact information: (e-mail....., phone.....)

Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience, and I am available, as and when necessary, to undertake the assignment in case of an award. I understand that any






misstatement or misrepresentation described herein may lead to my disqualification or dismissal by the Client, and/or sanctions by the Bank.

{day/month/year}

Name of Expert
Date

Signature

{day/month/year}

Name of authorized
Date
Representative of the Consultant
(the same who signs the Proposal)

Signature

13. FORMAT OF FINANCIAL BID

- Your financial proposal must be prepared and submitted separately. It should list all costs for the assignment, including; a) Remuneration for both Key Experts and Non-Key Experts; b) Reimbursable expenses.
- Please quote all amounts excluding GST. The GST will be paid separately as per the prevailing rules.
- The financial proposal must be valued in INR (Indian Rupees) and for 1 year i.e for the financial year 2024-2025 only.

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